

**DEPARTMENT OF POLITICAL SCIENCE & DEVELOPMENT ADMINISTRATION**

**Ph.D Course Work**

Semester	Paper Code	Course Title	Credits	Total
<b>Core Courses</b>				
I	17PSDR0101	Theory on Decentralization	4	24
	17PSDR0102	Decentralization: Practice and Outcomes	4	
	17PSDR0103	Participative Democracy : Theory and practice	4	
	17APRR0101	Research Methodology*	4	
II	17APRR0202	Quantitative Techniques*	4	
	17PSDR02SX	Specific course to be prescribed by the Doctoral Committee	4	
	Seminar (3) Term Paper/Topical Research			
III Semester onwards	a) Project Planning including literature collection, finalisation of objectives and methodology		4	
	b) Field / Lab Studies, Data collection, compilation of results, statistical analysis, results and final conclusion		32	
End of Program	Synopsis and thesis submission, final viva		6	

**\* Course offered by Department of Applied Research**

**Learning Objective:**

1. To familiarize various theories on decentralization
2. To understand the global trends in decentralization

**Specific Objectives of Learning:**

1. To learn the decentralization discourse
2. To study the Gandhian framework of Gram Swaraj and Self Rule
3. To learn the democratic decentralization process in India

**Unit: I- Decentralization Discourse**

Meaning, Nature, Definitions, Context and Objectives of Decentralization- Development  
Democratization, Empowerment

**Unit: II - Theories on Decentralization**

Preconditions for Success, Decentralization and Development, Designing  
Decentralization, Strengthening and Weakening of the state

**Unit: III - Global Trend in Decentralization**

Why Countries are interested in Decentralization?  
Mixed motive of Democratic Decentralization  
Typologies of Decentralization  
Constitutionalization, Provincialization, Enactment of specific Law and Passing of an  
Executive Order

**Unit: IV – Gandhian Framework**

Gram Swaraj and Self Rule.  
Possibilities and challenges.

## Unit: V - Democratic Decentralization in India

History of Local Governance in India

I wave of Decentralization

II wave of Decentralization

III wave of Decentralization

73<sup>rd</sup> and 74<sup>th</sup> Constitutional Amendments

Operationalization of the Decentralization

## References

1. Jean Paul Fauget and Caroline Poschl (eds). **Is decentralization good for development? Perspectives from Academics and Policy Makers.** Oxford: Oxford University Press, 2014.
2. Pranab Bardhan and Dilip Mookerjee (eds). **Decentralization and Local Governance in Developing Countries: A Comparative Perspective.** New Delhi: Oxford University Press, 2007.
3. S. M. Vijayanand, **Kerala – A Case Study of Classical Democratic Decentralization.** Thrissur: Kerala Institute of Local Administration, 2016.
4. Sten Widmalm. **Decentralization, Corruption and Social Capital from India to the West.** New Delhi: Sage Publications, 2008.
5. James Manor. “Local Governance” in Niraja Gopal Jayal and Pratap Bhanu Mehta (eds) **The Oxford Companion to Politics in India.** New Delhi: Oxford University Press, 2010.
6. S. S. Meenakshisundaram, **Decentralization in Developing Countries.** New Delhi: Concept Publishing Company, 1994.
7. Satyajit Singh and Pradeep K. Sharma (eds). **Decentralization: Institutions and Politics in Rural India.** New Delhi: Oxford University Press, 2007.
8. Girish Kumar. **Local Democracy in India: Interpreting Decentralization.** New Delhi: Sage Publications, 2006.
9. Nirija Gopal Jayal, Amit Prakash and Pradeep K. Sharma (eds) **Local Governance India: Decentralization and Beyond.** New Delhi: Oxford University Press, 2006.
10. Hari K. Nagarajan, S. S. Meenakshisundaram and Hans Biswanger. **Decentralization and Rural Development.** New Delhi: Cambridge University Press, 2016.

17PSDR0102

Practice and Outcomes

Credit: 4

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**Unit: I**

Comparative Institutional Framework for Responsive, Responsible and Accountable  
Local Governance  
Local Government and Local Governance  
Conceptual Perspectives on Local Governance  
Local Government Organisations and Finance in Industrial Countries  
New Vision of Local Governance and Evolving Roles of Local Governments  
Local Government Organisations and Finance in Developing Countries

**Unit: II**

Local Government Organisation and Finance in Rural India  
Legal Framework  
Inter Governmental Fiscal Transfers  
National Finance Commission  
State Finance Commission  
Fiscal Autonomy Vs Dependency  
Combating Corruption  
E-Governance

**Unit: III**

Theory on Decentralized Planning  
Planning at the Grassroots  
Planning Process  
Use of People Knowledge in Development Process  
Implications and Constraints

#### **Unit: IV**

Local Budgeting  
Budget Formats  
Citizen Centric Performance Budget  
How to read a Local Budget and Assess Government Performance  
Local Budget Process  
Local Budget Execution

#### **Unit: V**

Democratization and Impact on Economic Development  
Political Agency and Political Reservations  
Impact of Decentralization on service provision  
Gender and Social Justice  
Social Accountability

#### **References**

1. Anwar Shah (ed). **Local Budgeting** Washington D.C: The World Bank, 2007.
2. Anwar Shah (ed) **Local Governance in Developing Countries** Washington D.C: The World Bank, 2006.
3. Anwar Shah (ed) **Local governance in Industrial Countries** Washington DC: The World Bank, 2006.
4. Palanithurai, G. **Elected Women Representatives and Empowerment**, New Delhi: Concept Publishing, 2012.
5. Purnendu Sekhar Das (ed). “**Decentralized Planning and Participatory Rural Development**”. New Delhi: Concept Publishing Company, 2005.

6. Barankay, I., & Lockwood, B. Decentralization and the productive efficiency of government: Evidence from Swiss cantons. **Journal of Public Economics**, 91(5), 1197-1218, 2007.
7. Boadway, R., I. Horiba, and R. Jha, "The provision of public services by government funded decentralized agencies". **Public Choice**, 100(3-4), 157-184, 1999.
8. Leonid Hurwicz. Incentive Aspects of Decentralization. **Handbook of Mathematical Economics**, Volume 3, 1986, Pages 1441-1482.
9. Xavier Calsamiglia. Decentralized Resource Allocation and Increasing Returns. **Journal of Economic Theory**. Volume 14: Issue. 2, April 1977, PP 263-283.
10. Parkash Chander, Ashok Parikh. Theory and Practice of Decentralized Planning Procedures, **Journal of Economic Survey**, Volume 4: Issue. 1, March 1990, Pages 19–58.

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**Unit: I**

Participation – Meaning, Nature, Scope

Conceptual Clarity on the concept

Contextual Meaning and Expected Implications

**Unit: II**

Theories of Participation

Approaches and Methods of Participation

**Unit: III**

Participation in Governance – Opportunities – Barriers

Role of Stakeholders in Enabling the Citizens Participation in Governance

**Unit: IV**

Participation in Development – Opportunities – Barriers

Role of Stakeholders in Enabling the Citizens Participation in Development

Rights Based Approach and its Implications

**Unit: V**

Participation and Democracy Linkages

Participation and Empowerment Linkages

Participation and Citizenship Building

Public Action and Its Implications

## References

1. Rose Mary McGee. **Legal Framework for Citizens Participation**. Brighton: Sussex, IDS, Logolink, 2003.
2. A. Tung and E.D. Wight. “Deepening Democracy: Innovations in Empowered Participatory Governance”. **Politics and Society**. Vol.29:No.1, 2001.
3. Peter Clarke, Joauna Howard and Barbara Pozzoni, **Citizens Participation and Local Governance: Annotated Bibliography and Review**. Brighton: IDS University of Sussex, 2002.
4. Ranjita Mohanty and Rajesh Tandon, **Participatory Citizenship**. New Delhi: Sage Publications, 2006.
5. Lisa Thompson and Chris Tapscolt (eds) **Citizenship and Social Movements**. London: Zed Books, 2010.
6. John Gaventa and Rose Mary McGee. **Citizens Action and National Policy Reform**. London: Zed Books, 2010.